

IMMUNOLOGICAL ASPECTS AND ANTI-AMYLOID STRATEGY FOR ALZHEIMER'S DEMENTIA

Rajka M. LIŠČIĆ

Institute for Medical Research and Occupational Health, Zagreb, Croatia

Received in June 2013

CrossChecked in June 2013

Accepted in September 2013

Alzheimer's dementia (AD) is the most common form of dementia among the elderly, accounting for at least two-thirds of all dementia cases. It represents a costly burden, since its global prevalence is estimated at 24 million cases. Amyloid beta or A β plaques and neurofibrillary tangles define AD pathologically but do not fully explain it, because dementia may also be caused by inflammation resulting in neuronal, axonal synaptic loss and dysfunction. An important component of AD pathophysiology are amyloid plaques surrounded by activated microglia, cytokines, and complement components, suggesting inflammation. In the diagnosis of AD, cerebrospinal fluid markers, especially *in vivo* amyloid measurements, contribute to an accurate assessment of AD pathology and differential diagnosis. A β levels are a very good marker for the presence of amyloid deposits in the brain, while total tau and phosphorylated tau are useful for the detection of neurodegeneration. The implementation of anti-amyloid therapy and other disease-modifying interventions may have immense clinical impact if initiated at an early or presymptomatic stage of AD, before significant brain damage occurs. This paper briefly reviews the abovementioned topics and provides recommendations for future studies.

KEY WORDS: *Alzheimer's disease, amyloid- β (A β) plaques, anti-amyloid therapy, inflammation, microglia*

Alzheimer's dementia (AD) is a progressive degenerative disease of the brain and the most common cause of dementia among elderly persons, accounting for at least two-thirds of all dementia cases (1, 2). The global prevalence of dementia is estimated to be as high as 24 million cases and is predicted to double every 20 years by 2040, which will undoubtedly lead to an even higher and more costly burden than at present (3). AD is generally defined as a progressive decline in cognitive functions which typically begins with memory impairment and a characteristic change in personality and executive functions. Before death, individuals usually become dependent on caregivers. There is no available cure.

The neuropathological hallmarks of an AD brain include diffuse and neuritic extracellular plaques

composed of amyloid beta (A β) peptides (Figure 1), which are frequently surrounded by dystrophic neurites and intraneuronal neurofibrillary tangles (NFT), which are in turn composed of paired helical filaments or phosphorylated tau proteins (4). These hallmark pathologies are often accompanied by reactive microgliosis and neuron and synapse loss. The aetiological mechanisms underlying the neuropathological changes in AD still remain unclear but are probably affected by environmental, genetic, and neuroinflammatory factors (3).

Up to 3 % of AD cases are caused by an autosomal dominant mutation with three thus far identified genes: the amyloid precursor protein (*APP*), presenilin 1 (*PSEN 1*), and presenilin 2 (*PSEN 2*) (5). The A β peptide is cleaved from the APP by the sequential

activities of β -secretase and γ -secretase enzymes. $A\beta$ occurs in multiple forms, including those ranging from 37 to 43 amino acids in length. Among these, $A\beta_{42}$ seems to be essential for initiating $A\beta$ aggregation and is considered central to the amyloid cascade hypothesis of AD (6, 7). The APOE $\epsilon 4$ allele is the most important genetic risk factor for sporadic AD (8).

Inflammation mediated by activated microglia is an important component of AD pathophysiology (9) and neuritic plaques are the foci of the local inflammatory response (10). $A\beta$ neuritic plaques are surrounded by activated microglia, cytokines, and complement components, which are called „inflammatory foci”. In contrast, diffuse plaques without reactive microglia are considered clinically benign $A\beta$ deposits.

These objectively measured AD biomarkers are useful for the diagnosis, longitudinal assessment, and evaluation of the subsequent therapeutic response. $A\beta$, one of the most frequently used biomarkers, is produced in neurons and secreted into the brain's extracellular space. Measurements of cerebrospinal fluid (CSF) amyloid peptide levels can indicate the extent of peptide generation and clearance in the brain, particularly in radio-labelled amino acid infusion studies (11). The mean concentration of $A\beta_{42}$, a major component of $A\beta$, in the CSF was reduced by as much as 50 % in AD subjects relative to age-matched controls (12), as the result of $A\beta$ deposition in amyloid plaques, which prevented its transit from the brain into the CSF. In support of this hypothesis, all individuals with $A\beta$ deposits showed low concentrations of $A\beta$ in the CSF regardless of their cognitive status (13-15). However, CSF $A\beta$ does not correlate well with disease duration or severity (6), which is consistent with ^{11}C -labelled Pittsburgh Compound B (^{11}C -PIB) results from a study that showed that amyloid retention does not change significantly during the symptomatic stages of AD (16), and further supports the finding that amyloid pathology occurs very early in the process of this disease (6). Thus, CSF $A\beta$ can serve as a diagnostic and surrogate biomarker for $A\beta$ deposition in the brain. The decrease in CSF $A\beta$ appears to precede amyloid retention as detected by amyloid imaging using ^{11}C -PIB, signifying what is perhaps the first indication of AD pathology in cognitively normal individuals (13, 15, 17).

Tau is a major protein component of, at least initially, intraneuronal NFT and is elevated in the CSF of most AD patients. In addition, it has been shown that total tau (T-tau) levels in the CSF can rapidly

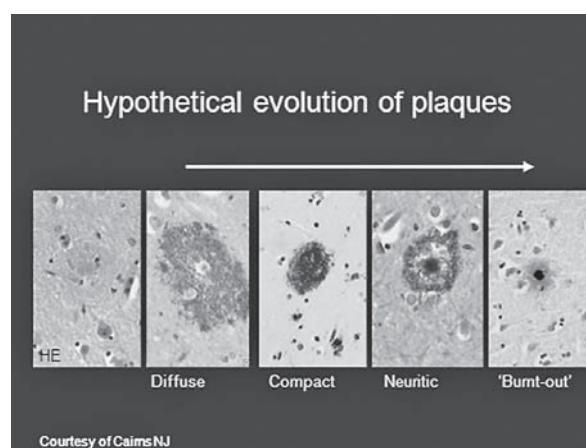


Figure 1 The evolution of plaques in Alzheimer's disease. Diffuse plaques, also called benign plaques, occur much earlier than neuritic plaques, thus supporting the idea of a therapeutic intervention in the early stage of the disease. (Courtesy of Professor Nigel J Cairns, PhD, Washington University in St Louis, MO, USA)

increase following neuronal injury, indicating the severity of the underlying neurodegeneration (15). T-tau, as a biomarker of neuronal injury, can transiently increase after any acute brain injury, such as stroke or physical trauma (16). The abnormal phosphorylated tau (p-tau) (17) has increased specificity for discriminating AD from other dementias [e.g., frontotemporal dementia or dementia with Lewy body (DLB) (2, 18) and non-demented controls (11)]. Distinguishing DLB from AD is a major clinical challenge due to different optimal management, allowing for the initiation of effective pharmacotherapy and avoiding neuroleptic sensitivity. The deposition of $A\beta$ peptides drives cerebral neuroinflammation by activating microglia. Indeed, $A\beta$ activation of the NLRP3 inflammasome in microglia is fundamental for interleukin- 1β (IL- 1β) maturation and subsequent inflammatory events. However, it remains unknown whether the NLRP3 inflammasome, which leads to the production of the pro-inflammatory IL- 1β , thus indicating an inflammatory process, plays a role in the progression of AD (22). On an animal model, behavioural and cognitive functions were improved by reducing the signalling from this particular inflammasome, making inflammasomes a promising therapeutic target for AD therapy (22). Chronic inflammation coupled with neuronal ageing induces cellular stress and concomitant impairments in basic neuronal functions. The beneficial side of the

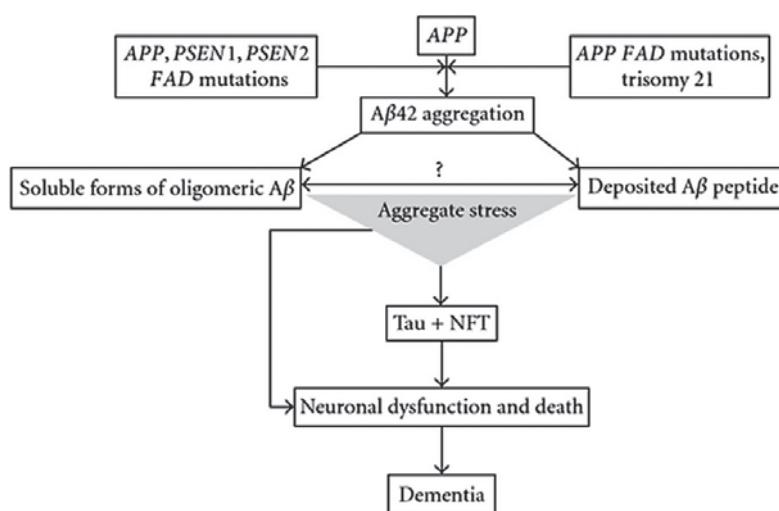


Figure 2 The Amyloid Cascade Hypothesis (from Reitz C; *Int J Alzheimers Dis* 2012, 2012:369808)

inflammation immune system against amyloids is the reduction of A β and the immunization against A β .

Today's anti-amyloid strategies include immune therapies and vaccines for amyloid clearance, plaque busters, and amyloid clearance enhancers. These drugs are designed as an antibody to bind A β . The stimulation of A β clearance from the brain of AD patients via the administration of A β antigens (active vaccination) or anti-A β monoclonal amyloid antibodies (passive vaccination) has already been applied. In 2001, the first clinical trial with the A β peptide active vaccine (AN1792, consisting of preaggregate A β and an immune adjuvant, QS-21) was initiated. After the second dose, however, meningoencephalitis occurred in 5 % to 6 % of immunized patients, and the trial was stopped. The clearance of A β from the human brain, however, was successful (23), suggesting that the immune response generated against the peptide elicited the clearance of A β plaques. Passive immunotherapy with anti-amyloid substances is currently in development.

Bapineuzumab (*β -amyloid peptide (i) neutralizing (u) monoclonal antibody*), was designed to bind to A β in patients with mild-to-moderate forms of AD, but all Phase III clinical trials of bapineuzumab have been halted in 2012, because the drug did not meet the endpoints for cognition and global function (24). Also, the occurrence of vasogenic oedema after bapineuzumab, and more rarely brain microhaemorrhages (especially in Apo E ϵ 4 carriers), has raised concerns about the safety of these antibodies

directed against the N-terminus of A β . Recently, solanezumab (*soluble amyloid neutralizing (u) monoclonal antibody*), a humanized anti-A β monoclonal antibody directed against the A β peptide, was shown to neutralize soluble A β species, thus becoming the first therapeutic drug to be evaluated in the anti-amyloid treatment in asymptomatic AD (25). This was the first application of an A β clearing drug in older people thought to be in the presymptomatic stage of AD with evidence of amyloid in their brains shown by ¹¹C-labeled PIB imaging (26), but with no clinical symptoms of the disease yet. The clearance of plaques, however, has not yet been shown to reverse memory in clinical trials, even though many scientists in the field feel that immunotherapy holds promise. The failure of bapineuzumab to produce benefits has aroused some scepticism about the amyloid cascade hypothesis (3), which holds that toxic amyloid proteins cleaved from the APP initiate AD (Figure 2).

Recently, a coding mutation (A673T) found in the APP gene conferred strong protection against AD even in people who carried the APOE4 gene, therefore demonstrating the importance of keeping A β levels in the brain low (1). The discovery of the protective APP mutation in approx. 1 % of Icelanders suggested that lowering amyloids in the brain, either with anti-amyloid therapy or some other treatment, must begin long before the symptoms of AD set in. As a result, the levels of A β in the CSF began to decline 25 years before the expected onset of symptoms, which is a signal that the protein is being sequestered in the brain

as insoluble plaque, as shown in a group of 128 participants from the prospective and longitudinal Dominantly Inherited Alzheimer Network (DIAN) study on early onset AD (27). Several grants for Alzheimer's prevention studies were recently awarded by the US National Institutes of Health (NIH) in order to test anti-amyloid drugs on members of a Colombian family, the largest extended family in the world with a gene mutation that causes them to develop AD early, showing cognitive impairment by around age 45, and full dementia several years later. In this trial, family members as young as 30 will receive another anti-amyloid monoclonal antibody called crenezumab (25). So far, three investigational monoclonal antibodies against amyloids have been selected for clinical trials that will try to prevent dementia in people who are on the path to AD due to an inherited autosomal-dominant mutation (28).

In the majority of cases (97 %) involving late-onset AD caused by a number of risk-factor genes, identifying these genes will be the greatest advancement towards effective treatment. Based on the new diagnostic criteria for AD (26, 29) and recent experience with major failures of anti-A β drugs in mild-to-moderate AD patients, one could argue that clinical trials on potential disease-modifying drugs, including immunological approaches, should be performed in the early or preclinical stages of AD, years before the first symptoms occur (30).

In summary, despite tremendous investments in basic and clinical research, no cure or preventive treatment for Alzheimer's dementia has been yielded. The amyloid-beta (A β) peptide has become a major therapeutic target in AD. The genetic mutations cause increased A β levels, followed by amyloidosis, tauopathy, brain atrophy, and decreased metabolism. Inflammatory processes are strongly correlated with the onset and progression of AD in humans, and could have a pivotal role in AD aetiology. Based on the new diagnostic criteria and recent experiences with anti-A β drugs in early-stage AD patients, one could argue that the treatment for AD patients should start years before the first onset of clinical symptoms.

Acknowledgements

The author thanks Mr. Makso Herman for providing assistance with the preparation of this manuscript. This work was supported by grant 022-1340036-2083 from the Ministry of Science, Education and Sports of the Republic of Croatia (Dr. Liscic).

REFERENCES

1. Jonsson T, Atwal JK, Steinberg S, Snaedal J, Jonsson PV, Bjornsson S, Stefansson H, Sulem P, Gudbjartsson D, Maloney J, Hoyte K, Gustafson A, Liu Y, Lu Y, Bhangale T, Graham RR, Huttenlocher J, Bjornsdottir G, Andreassen OA, Jönsson EG, Palotie A, Behrens TW, Magnusson OT, Kong A, Thorsteinsdottir U, Watts RJ, Stefansson K. A mutation in APP protects against Alzheimer's disease and age-related cognitive decline. *Nature* 2012;488:96-9. doi: 10.1038/nature11283
2. Hampel H, Buerger K, Zinkowski R, Teipel SJ, Goernitz A, Andreasen N, Sjoegren M, DeBernardis J, Kerkman D, Ishiguro K, Ohno H, Vanmechelen E, Vanderstichele H, McCulloch C, Moller HJ, Davies P, Blennow K. Measurement of phosphorylated tau epitopes in the differential diagnosis of Alzheimer disease: a comparative cerebrospinal fluid study. *Arch Gen Psychiatry* 2004;61:95-102. PMID: 4706948
3. Reitz C, Brayne C, Mayeux R. Epidemiology of Alzheimer disease. *Nat Rev Neurol* 2011;7:137-52. doi: 10.1038/nrneurol.2011.2
4. Mirra SS, Heyman A, McKeel D, Sumi SM, Crain BJ, Brownlee LM, Vogel FS, Hughes JP, van Belle G, Berg L. The Consortium to Establish a Registry for Alzheimer's Disease (CERAD). Part II. Standardization of the neuropathologic assessment of Alzheimer's disease. *Neurology* 1991;41:479-86. doi: 10.1212/WNL.41.4.479
5. Schellenberg GD, Montine TJ. The genetics and neuropathology of Alzheimer's disease. *Acta Neuropathol* 2012;124:305-23. doi: 10.1007/s00401-012-0996-2
6. Holtzman DM. CSF biomarkers for Alzheimer's disease: current utility and potential future use. *Neurobiol Aging* 2011;32(Suppl 1):S4-9. doi: 10.1016/j.neurobiolaging.2011.09.003
7. Hardy J, Selkoe DJ. The amyloid hypothesis of Alzheimer's disease: progress and problems on the road to therapeutics. *Science* 2002;297:353-6.
8. Strittmatter WJ, Roses AD. Apolipoprotein E and Alzheimer disease. *Annu Rev Neurosci* 1996;19:53-77. doi: 10.1146/annurev.ne.19.030196.000413
9. Benveniste EN, Nguyen VT, O'Keefe GM. Immunological aspects of microglia: relevance to Alzheimer's disease. *Neurochem Int* 2001;39:381-91. PMID: 11578773
10. McGeer EG, McGeer PL. Brain inflammation in Alzheimer disease and the therapeutic implications. *Curr Pharm Des* 1999;5:821-36. PMID: 10526088
11. Bateman RJ, Munsell LY, Morris JC, Swarm R, Yarasheski KE, Holtzman DM. Human amyloid-beta synthesis and clearance rates as measured in cerebrospinal fluid *in vivo*. *Nature Med* 2006;12:856-61. doi: 10.1038/nm1438
12. Sunderland T, Linker G, Mirza N, Putnam KT, Friedman DL, Kimmel LH, Bergeson J, Manetti GJ, Zimmermann M, Tang B, Bartko JJ, Cohen RM. Decreased beta-amyloid1-42 and increased tau levels in cerebrospinal fluid of patients with Alzheimer disease. *JAMA* 2003;289:2094-103. doi: 10.1001/jama.289.16.2094
13. Fagan AM, Mintun MA, Mach RH, Lee SY, Dence CS, Shah AR, LaRossa GN, Spinner ML, Klunk WE, Mathis CA, DeKosky ST, Morris JC, Holtzman DM. Inverse relation between *in vivo* amyloid imaging load and cerebrospinal

- fluid A β 42 in humans. *Ann Neurol* 2006;59:512-9. PMID: 16372280
14. Fagan AM, Roe CM, Xiong C, Mintun MA, Morris JC, Holtzman DM. Cerebrospinal fluid tau/beta-amyloid(42) ratio as a prediction of cognitive decline in nondemented older adults. *Arch Neurol* 2007;64:343-9. doi: 10.1001/archneur.64.3.noc60123
 15. Fagan AM, Mintun MA, Shah AR, Aldea P, Roe CM, Mach RH, Marcus D, Morris JC, Holtzman DM. Cerebrospinal fluid tau and ptau(181) increase with cortical amyloid deposition in cognitively normal individuals: implications for future clinical trials of Alzheimer's disease. *EMBO Mol Med* 2009;1:371-80. doi: 10.1002/emmm.200900048
 16. Rowe CC, Ng S, Ackermann U, Gong SJ, Pike K, Savage G, Cowie TF, Dickinson KL, Maruff P, Darby D, Smith C, Woodward M, Merory J, Tochon-Danguy H, O'Keefe G, Klunk WE, Mathis CA, Price JC, Masters CL, Villemagne VL. Imaging beta-amyloid burden in aging and dementia. *Neurology* 2007;68:1718-25. doi: 10.1212/01.wnl.0000261919.22630.ea
 17. Cairns NJ, Ikonovic MD, Benzinger T, Storandt M, Fagan AM, Shah AR, Reinwald LT, Carter D, Felton A, Holtzman DM, Mintun MA, Klunk WE, Morris JC. Absence of Pittsburgh compound B detection of cerebral amyloid beta in a patient with clinical, cognitive, and cerebrospinal fluid markers of Alzheimer disease: a case report. *Arch Neurol* 2009;66:1557-62. doi: 10.1001/archneurol.2009.279
 18. Blennow K, Nellgard B. Amyloid beta 1-42 and tau in cerebrospinal fluid after severe traumatic brain injury. *Neurology* 2004;62:159; author reply 159-60. PMID: 14718730
 19. Hesse C, Rosengren L, Andreasen N, Davidsson P, Vanderstichele H, Vanmechelen E, Blennow K. Transient increase in total tau but not phospho-tau in human cerebrospinal fluid after acute stroke. *Neurosci Lett* 2001;297:187-90. doi: 10.1016/s0304-3940(00)01697-9
 20. Arai H, Ishiguro K, Ohno H, Moriyama M, Itoh N, Okamura N, Matsui T, Morikawa Y, Horikawa E, Kohno H, Sasaki H, Imahori K. CSF phosphorylated tau protein and mild cognitive impairment: a prospective study. *Exp Neurol* 2000;166:201-3. PMID: 11031097
 21. Buerger K, Zinkowski R, Teipel SJ, Tapiola T, Arai H, Blennow K, Andreasen N, Hofmann-Kiefer K, DeBernardis J, Kerkman D, McCulloch C, Kohnken R, Padberg F, Pirtilä T, Schapiro MB, Rapoport SI, Möller HJ, Davies P, Hampel H. Differential diagnosis of Alzheimer disease with cerebrospinal fluid levels of tau protein phosphorylated at threonine 231. *Arch Neurol* 2002;59:1267-72. doi: 10.1001/archneur.59.8.1267
 22. Heneka MT, Kummer MP, Stutz A, Delekate A, Schwartz S, Vieira-Saecker A, Griep A, Axt D, Remus A, Tzeng TC, Gelpi E, Halle A, Korte M, Latz E, Golenbock DT. NLRP3 is activated in Alzheimer's disease and contributes to pathology in APP/PS1 mice. *Nature* 2013;493:674-8. doi: 10.1038/nature11729
 23. Nicoll JA, Wilkinson D, Holmes C, Steart P, Markham H, Weller RO. Neuropathology of human Alzheimer disease after immunization with amyloid-beta peptide: a case report. *Nature Med* 2003;9:448-52. doi: 10.1038/nm840
 24. Weinstein D. Failures halt Phase III trials of Alzheimer's drug [displayed 22 September 2013] Available at <http://www.mmm-online.com/failures-halt-phase-iii-trials-of-alzheimers-drug/article/253659/>
 25. Alzheimer Research Forum. DIAN Trial Picks Gantenerumab, Solanezumab, Maybe BACE Inhibitor [displayed 22 September 2013]. Available at <http://www.alzforum.org/new/detail.asp?id=3289>
 26. Jack CR Jr, Knopman DS, Jagust WJ, Shaw LM, Aisen PS, Weiner MW, Petersen RC, Trojanowski JQ. Hypothetical model of dynamic biomarkers of the Alzheimer's pathological cascade. *Lancet Neurol* 2010;9:119-28. doi: 10.1016/S1474-4422(09)70299-6
 27. Bateman RJ, Xiong C, Benzinger TL, Fagan AM, Goate A, Fox NC, Marcus DS, Cairns NJ, Xie X, Blazey TM, Holtzman DM, Santacruz A, Buckles V, Oliver A, Moulder K, Aisen PS, Ghetti B, Klunk WE, McDade E, Martins RN, Masters CL, Mayeux R, Ringman JM, Rossor MN, Schofield PR, Sperling RA, Salloway S, Morris JC; Dominantly Inherited Alzheimer Network. Clinical and biomarker changes in dominantly inherited Alzheimer's disease. *New Engl J Med* 2012;367:795-804. doi: 10.1056/NEJMoa1202753
 28. Alzheimer's Disease Education and Referral Center. Dominantly Inherited Alzheimer Network Trial: An Opportunity to Prevent Dementia (DIAN TU) [displayed 22 September 2013]. Available at <http://www.nia.nih.gov/alzheimers/clinical-trials/dominantly-inherited-alzheimer-network-trial-opportunity-prevent-dementia>
 29. Jack CR Jr, Knopman DS, Jagust WJ, Petersen RC, Weiner MW, Aisen PS, Shaw LM, Vemuri P, Wiste HJ, Weigand SD, Lesnick TG, Pankratz VS, Donohue MC, Trojanowski JQ. Tracking pathophysiological processes in Alzheimer's disease: an updated hypothetical model of dynamic biomarkers. *Lancet Neurol* 2013;12:207-16. doi: 10.1016/S1474-4422(12)70291-0
 30. Panza F, Frisardi V, Solfrizzi V, Imbimbo BP, Logroscino G, Santamato A, Greco A, Seripa D, Pilotto A. Immunotherapy for Alzheimer's disease: from anti-beta-amyloid to tau-based immunization strategies. *Immunotherapy* 2012;4:213-38. doi: 10.2217/imt.11.170

Sažetak

IMUNOLOŠKI ASPEKTI I ANTIAMILOIDNE STRATEGIJE ZA ALZHEIMEROVU DEMENCIJU

Alzheimerova bolest (AB) najučestaliji je oblik demencije u starijih: najmanje dvije trećine sveukupnih slučajeva demencija upravo je AB. Prevalencija bolesti u svijetu procjenjuje se na 24 milijuna slučajeva, što je značajno financijsko opterećenje. Amiloidni beta (A β) plakovi (eng. *amyloid plaques*) i neurofibrilarni snopići (eng. *neurofibrillary tangles*) glavne su patološke karakteristike AB-a, jer uključuju i upalu, neuronalnu leziju te gubitak odnosno disfunkciju aksona i sinapsa. Amiloidni plakovi okružuju aktiviranu mikrogliju, citokine i komponente komplementa, što je pokazatelj upale, koja je važna karika u patofiziologiji AB-a. Prilikom dijagnosticiranja AB-a važnu ulogu imaju markeri likvora i *in vivo* detekcija amiloida u mozgu, koji pridonose optimalnoj procjeni patologije ove bolesti i njene diferencijalne dijagnoze. Za detekciju amiloida u mozgu koristi se A β u cerebrospinalnom likvoru, a za detekciju neurodegenerativnih promjena iznimno su važni total-tau (T-tau) i fosforilirani-tau (p-tau) markeri. Uvođenje antiamiloidne i ostalih terapija moglo bi imati značajne pozitivne kliničke učinke ako bi se s terapijom započelo rano i/ili u presimptomatskoj fazi bolesti, prije nego dođe do značajnog gubitka neurona. U ovom radu dan je kratak prikaz spomenutih tema, uz osvrt na aktualna i buduća istraživanja i kliničke studije primjenom monoklonalnih protutijela protiv amiloida kao mogućih uzročnika AB-a u početnoj i/ili ranoj fazi bolesti.

KLJUČNE RIJEČI: *Alzheimerova bolest, amiloid-beta (A β) plak, antiamiloidna terapija, mikroglija, upala*

CORRESPONDING AUTHOR:

R.M. Liščić
Institute for Medical Research and Occupational Health
Ksaverska c. 2, P.O. Box 291, 10001 Zagreb, Croatia
E-mail: rliscic@imi.hr